## National Electronic Data Interchange Transaction Set Implementation Guide

# E

# Health Care Claim: Dental

837

**ASC X12N 837 (004010X097A1)** 

October 2001 • NPRM Draft

Contact Washington Publishing Company for more Information.

1.800.972.4334 www.wpc-edi.com

### © 2001 WPC

Copyright for the members of ASC X12N by Washington Publishing Company.

Permission is hereby granted to any organization to copy and distribute this material internally as long as this copyright statement is included, the contents are not changed, and the copies are not sold.

# **Table of Contents**

X097	Introduction			
	Modified pages	7		

# 1 Introduction to Modified Pages

This document is addenda to the X12N Health Care Claim: Dental Implementation Guide, originally published May 2000 as 004010X097. As a result of the post publication review process, items were identified that could be considered impediments to implementation. These items were passed to the X12N Health Care Work Group that created the original Implementation Guide for their review.

Appropriate modifications make up the contents of this Draft Addenda to the X12N 004010X097 Implementation Guide published in May 2000. Since this guide is named for use under HIPAA, this is a Draft Addenda that will go through a Notice of Proposed Rule Making (NPRM) process, just as the original Implementation Guide did, before becoming a final addenda to the guide published by X12N. Only the modifications noted in this Draft Addenda will be considered in the NPRM. Once this Draft addenda is approved for publication by X12N, the value used in GS08 will be "004010X097A1".

Each of the changes made to the 004010X097 Implementation Guide have been annotated with a note in red and a line pointing to the location of the change. For convenience, the affected 004010X097 Implementation Guide page number is noted at the bottom of the page. Please note that as a result of insertion or deletion of material each addenda page may not begin or end at the same place as the original referenced page. Because of this, addenda pages are not page for page replacements and the original pages should be retained.

Reference to segments that have been deleted will only appear in the Table 1 and Table 2 diagrams for this transaction beginning on page 45.

Please note that changes in the addenda may have caused changes to the Data Element Dictionary and the Data Element Name Index (Appendix E in the original Implementation Guide), but are not identified in these draft addenda. Changes in the addenda may also have caused changed to the Examples and the EDI Transmission Examples (Section 4 in the original Implementation Guide), but are not identified in these draft addenda.

### 1.1 Overall Change Summary

All N2 Additional Name Information Segments have been deleted.

A note for the code identifying Home Infusion EDI Coalition (HIEC) Procedure/Service codes was added.

The code for identifying National Drug Codes (NDC) has changed to be standard across all X12N Health Care guides.

Other requirements and notes have been changed.

### **837** Health Care Claim: Dental

- 1. The 837 transaction is designed to transmit one or more claims for each billing provider. The hierarchy of the looping structure is as follows: billing provider, subscriber, patient, claim level, and claim service line level. Billing providers who sort claims using this hierarchy use the 837 more efficiently because information that applies to all lower levels in the hierarchy does not have to be repeated within the transaction.
- 2. The developers of this implementation guide also recommend this standard for submitting similar data within a prepaid managed care context. Referred to as "capitated encounters," this data usually does not result in a payment, though it is possible to submit a mixed claim that includes both prepaid and request for payment services. This standard allows for the submission of data from providers of health care products and services to a Managed Care Organization or other payer. This standard may be used by payers to share data with plan sponsors, employers, regulatory entities, and Community Health Information Networks.
- **3.** This standard also can be used as a transaction set in support of the Coordination of Benefits (COB) claims process. Additional looped segments can be used within both the claim and service line levels to transfer each payer's adjudication information to subsequent payers.

### Table 1 - Header

PAGE#	POS.#	SEG. ID	NAME	USAGE	REPEAT	LOOP REPEAT
53	005	ST	Transaction Set Header	R	1	
54	010	BHT	Beginning of Hierarchical Transaction	R	1	
57	015	REF	Transmission Type Identification	R	1	
			LOOP ID - 1000A SUBMITTER NAME			1
59	020	NM1	Submitter Name	R	1	
62	045	PER	Submitter Contact Information	R	2	
		\	LOOP ID - 1000B RECEIVER NAME			1
65	020	NM1	Receiver Name	R	1	
			N2 Segment Deleted			

### Table 2 - Billing/Pay-to Provider Detail

PAGE#	POS.#	SEG. ID	NAME	USAGE	REPEAT	LOOP REPEAT
			LOOP ID - 2000A BILLING/PAY-TO PROVIDER HIERARCHICAL LEVEL			>1
67	001	HL	Billing/Pay-to Provider Hierarchical Level	R	1	
69	003	PRV	Billing/Pay-to Provider Specialty Information	S	1	
71	010	CUR	Foreign Currency Information	S	1	
			LOOP ID - 2010AA BILLING PROVIDER NAME			1
74	015	NM1	Billing Provider Name	R	1	
77	025	N3	Billing Provider Address	R	1	
78	030	N4	Billing Provider City/State/ZIP Code	R	1	
80	035	REF	Billing Provider Secondary Identification Number	S	5	
82	035	REF	Claim Submitter Credit/Debit Card Information	S	8	
			LOOP ID - 2010AB PAY-TO PROVIDER'S NAME			1
84	015	NM1	Pay-to Provider's Name	S	1	
87	025	N3	Pay-to Provider's Address	R	1	
88	030	N4	Pay-to Provider City/State/Zip	R	1	
90	035	REF	Pay-to Provider Secondary Identification Number	S	5	

Table 2 - Subscriber Detail

PAGE#	POS.#	SEG. ID	NAME	USAGE	REPEAT	LOOP REPEAT
			LOOP ID - 2000B SUBSCRIBER HIERARCHICAL LEVEL			>1
92	001	HL	Subscriber Hierarchical Level	R	1	
95	005	SBR	Subscriber Information	R	1	
			LOOP ID - 2010BA SUBSCRIBER NAME			1
99	015	NM1	Subscriber Name	R	1	
103	025	N3	Subscriber Address	S	1	
104	030	N4	Subscriber City/State/ZIP Code	S	1	
106	032	DMG	Subscriber Demographic Information	S	1	
108	035	REF	Subscriber Secondary Identification	S	4	
110	035	REF	Property and Casualty Claim Number	S	1	
			LOOP ID - 2010BB PAYER NAME			1
112	015	NM1	Payer Name	R	1	
115	025	N3	Payer Address	S	1	
116	030	N4	Payer City/State/ZIP Code	S	1	
118	035	REF	Payer Secondary Identification Number	S	3	
		\\	LOOP ID - 2010BC CREDIT/DEBIT CARD HOLDER NAME			1
120	015	NM1	Credit/Debit Card Holder Name	S	1	
123	035	REF\	Credit/Debit Card Information	S	3	

### **Table 2 - Patient Detail**

For purposes of this documentation, the claim detail information is presented only in the dependent level. Specific claim detail information can be given in either the subscriber or the dependent hierarchical level. Because of this the claim information is said to "float." Claim information is positioned in the same hierarchical level that describes its owner-participant, either the subscriber or the dependent. In other words, the claim information, loop 2300, is placed following loop 2010BC in the subscriber hierarchical level when the patient is the subscriber, or it is placed at the patient/dependent hierarchical level when the patient is the dependent of the subscriber as shown here. When the patient is the subscriber, loops 2000C and 2010CA are not sent. See 2.3.2.1, HL Segment, for details.

PAGE#	POS. #	SEG. ID	NAME	USAGE	REPEAT	LOOP REPEAT
			LOOP ID - 2000C PATIENT HIERARCHICAL LEVEL			>1
125	001	HL	Patient Hierarchical Level	S	1	
127	007	PAT	Patient Information	R	1	
			LOOP ID - 2010CA PATIENT NAME			1
129	015	NM1	Patient Name	R	1	
132	025	N3	Patient Address N2 Segment Deleted	R	1	
133	030	N4	Patient City/State/ZIP Code	R	1	
135	032	DMG	Patient Demographic Information	R	1	
137	035	REF	Patient Secondary Identification	S	5	
139	035	REF	Property and Casualty Claim Number	S	1	
			LOOP ID - 2300 CLAIM INFORMATION			100
141	130	CLM	Claim Information	R	1	
148	135	DTP	Date - Admission	S	1	
149	135	DTP	Date - Discharge	S	1	
151	135	DTP	Date - Referral	S	1	
152	135	DTP	Date - Accident	S	1	
153	135	DTP	Date - Appliance Placement	S	5	

IIVII LLIVI	LITIAI	ION GOI			0040107097
155	135	DTP	Date - Service	s	1
157	145	DN1	Orthodontic Total Months of Treatment	S	1
159	150	DN2	Tooth Status	S	35
161	155	PWK	Claim Supplemental Information	s	10
64	175	AMT	Patient Amount Paid	s	1
165	175	AMT	Credit/Debit Card - Maximum Amount	s	1
166	180	REF	Predetermination Identification	s	5
168	180	REF	Service Authorization Exception Code	s	1
70	180	REF	Original Reference Number (ICN/DCN) Segment Name	S	1 _
72	180	REF	Prior Authorization or Referral Number — Changed	s	<sub>2</sub> — Repeat
74	180	REF	Claim Identification Number for Clearinghouses and Other	s	Thange
			Transmission Intermediaries		
76	190	NTE	Claim Note	S	20
			LOOP ID - 2310A REFERRING PROVIDER NAME		
78	250	NM1	Referring Provider Name	S	1
81	255	PRV	Referring Provider Specialty Information N2 Deleted	S	1
83	271	REF	Referring Provider Secondary Identification	s	5
			LOOP ID - 2310B RENDERING PROVIDER NAME		
85	250	NM1	Pondoring Providor Namo	S	4
88	255	PRV	Rendering Provider Specialty Information N2 Deleted	S	——— Usage
90	271	REF	Rendering Provider Secondary Identification	S	Changed
50		1121	LOOP ID - 2310C SERVICE FACILITY LOCATION		<u> </u>
92	250	NM1		9	1
95	271	REF	Service Facility Location  Service Facility Location Secondary Identification  N2 Delete	ď	5
			LOOP ID - 2310D ASSISTANT SURGEON NAME		<u> </u>
New L 97	.00p Ad 250	NM1	Assistant Surgeon Name	S	1
9 <i>1</i> 00	255	PRV	Assistant Surgeon Specialty Information	S	1
00 02	271	REF	Assistant Surgeon Secondary Identification	S	1
02	271	IVEI	LOOP ID - 2320 OTHER SUBSCRIBER INFORMATION		<u>'</u> 1
04	290	SBR	Other Subscriber Information	S	1
0 <del>4</del> 08	295	CAS	Claim Adjustment	S	5
15	300	AMT	Coordination of Benefits (COB) Payer Paid Amount	S	1
16	300	AMT	Coordination of Benefits (COB) Payer Faid Amount  Coordination of Benefits (COB) Approved Amount	S	1
17	300	AMT	Coordination of Benefits (COB) Allowed Amount	S	1
18	300	AMT	Coordination of Benefits (COB) Patient Responsibility	S	1
10	300	MINI I	Amount	3	·
219	300	AMT	Coordination of Benefits (COB) Covered Amount	S	1
20		AMT	Coordination of Benefits (COB) Discount Amount	S	1
21	300	AMT	Coordination of Benefits (COB) Patient Paid Amount	S	1
22	305	DMG	Other Insured Demographic Information	S	1
24	310	OI	Other Insurance Coverage Information	R	1
	-		LOOP ID - 2330A OTHER SUBSCRIBER NAME		1
26	325	NM1	Other Subscriber Name	R	1
29	332	N3	Other Subscriber Address N2 Deleted	S	1
30	340	N4	Other Subscriber City/State/Zip Code	S	1
32	355	REF	Other Subscriber Secondary Identification	S	3
	300		LOOP ID - 2330B OTHER PAYER NAME		1
34	325	NM1	Other Payer Name	R	1
36	345	PER	Other Payer Contact Information N2 Deleted	S	2
39	350	DTP	Claim Paid Date Segment Name	S	1
:39 :40	355	REF	Other Payer Secondary Identifier Changed	S	3 _
40 242	355	REF	Other Payer Prior Authorization or Referral Number	S	2— Repeat
242 244	355	REF	Other Payer Claim Adjustment Indicator	S	1 Changed
	333	IVEL	Other Fayer Claim Aujustinion mulcator	J	· · ·

			LOOP ID - 2330C OTHER PAYER PATIENT INFORMATION			1
246	325	NM1	Other Payer Patient Information	S	1	
248	355	REF	Other Payer Patient Identification	S	3	
			LOOP ID - 2330D OTHER PAYER REFERRING			1
			PROVIDER			-
250	325	NM1	Other Payer Referring Provider	S	1	
252	355	REF	Other Payer Referring Provider Identification	S	3	
			LOOP ID - 2330E OTHER PAYER RENDERING PROVIDER			1
254	325	NM1	Other Payer Rendering Provider	S	1	
256	355	REF	Other Payer Rendering Provider Identification	S	3	
			LOOP ID - 2400 LINE COUNTER			50
258	365	LX	Line Counter	R	1	
259	380	SV3	Dental Service	R	1	
265	382	TOO	Tooth Information	S	32	
268	455	DTP	Date - Service	S	1	
270	455	DTP	Date - Prior Placement	S	1	
272	455	DTP	Date - Appliance Placement	S	1	
274	455	DTP	Date - Replacement	S	1	
276	460	QTY	Anesthesia Quantity	S	5	
278	470	REF	Service Predetermination Identification	S	1	D
279	470	REF	Prior Authorization or Referral Number — Name Change	S	2	_ Repeat
281	470	REF	Line Item Control Number  Name Change	s	1	Changed
283	475	AMT	Approved Amount	S	1	
284	475	AMT	Sales Tax Amount ———New Segment Add	edS	1	
285	485	NTE	Line Note	S	10	
			LOOP ID - 2420A RENDERING PROVIDER NAME			1
286	500	NM1	Rendering Provider Name	S	1_ 1	Jsage
289	505	PRV	Rendering Provider Specialty Information	S		Changed
291	525	REF	Rendering Provider Secondary Identification N2 Deleted	S	5	mangeu
			LOOP ID - 2420B OTHER PAYER PRIOR AUTHORIZATION OR REFERRAL NUMBER	Name C	hanged	1
293	500	NM1	Other Payer Prior Authorization or Referral Number	S	1	Repeat
296	525	REF	Other Payer Prior Authorization or Referral Number	S	2 —	-Changed
New Loo	p Added	d —	LOOP ID - 2420C ASSISTANT SURGEON NAME			1
298	500	NM1	Assistant Surgeon Name	S	1	
301	505	PRV	Assistant Surgeon Specialty Information	S	1	
303	525	REF	Assistant Surgeon Secondary Identification	S	1	
			LOOP ID - 2430 LINE ADJUDICATION INFORMATION			25
305	540	SVD	Line Adjudication Information	S	1	
309	545	CAS	Service Adjustment	S	99	
316	550	DTP	Line Adjudication Date	R	1	
317	555	SE	Transaction Set Trailer	R	1	I

### TRANSMISSION TYPE IDENTIFICATION

Usage: REQUIRED

Repeat: 1

Notes:

1. The information carried in this REF is identical to that carried in the GS08. Because the commercial translator community is roughly evenly split on where they look for the implementation guide type, this number is carried in both places.

Example: REF\*87\*004010X097A1~ — Example Changed

### **STANDARD**

**REF** Reference Identification

Level: Header

Position: 015

Loop: \_\_\_\_

Requirement: Optional

Max Use: 3

**Purpose:** To specify identifying information

1. R0203 Syntax:

At least one of REF02 or REF03 is required.

### DIAGRAM









USAGE	REF. DES.	DATA ELEMENT	NAME			ATTRIBU	ITES
REQUIRED	REF01	128	Reference Identification Qualifier Code qualifying the Reference Identification			ID	2/3
			CODE	DEFINITION			
			87	Functional Category			
REQUIRED	REF02	127		ntification nation as defined for a particular Transaction e Identification Qualifier	<b>X</b> on Set	AN or as sp	1/30 pecified
			INDUSTRY: <b>Trans</b>	mission Type Code			
			<b>SYNTAX:</b> R0203				
Note	Changed <		When piloting	the transaction set, this value is 00	4010	X097D	A1.
			When sending is 004010X097	g the transaction set in a production A1.	mod	le, this	value

# BILLING/PAY-TO PROVIDER SPECIALTY INFORMATION

Loop: 2000A — BILLING/PAY-TO PROVIDER HIERARCHICAL LEVEL

**Usage: SITUATIONAL** 

Repeat: 1

Notes:

Note 1. Changed

- 1. Required when adjudication is known to be impacted by the provider taxonomy code, and the Rendering Provider is the same entity as the Billing and/or Pay-to Provider. In these cases, the Rendering Provider is being identified at this level for all subsequent claims/encounters in this HL and Loop ID-2310B is not used.
- 2. If the Billing or Pay-to Provider is also the Rendering Provider, and Loop 2310B is not used, this PRV segment is required.
- 3. This PRV is not used when the Billing or Pay-to Provider is a group and the individual Rendering Provider is in Loop ID-2310B. The PRV segment is then coded with the Rendering Provider in Loop ID-2310B.
- 4. PRV02 qualifies PRV03.

Example: PRV\*PT\*ZZ\*1223S0112Y~

### **STANDARD**

### **PRV** Provider Information

Level: Detail

Position: 003

**Loop:** 2000

Requirement: Optional

Max Use: 1

**Purpose:** To specify the identifying characteristics of a provider

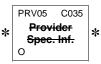
### DIAGRAM













USAGE	REF. DES.	DATA ELEMENT	NAME			ATTRIBL	JTES
REQUIRED	PRV01	1221	Provider Code Code indentifyi	<b>de</b> ng the type of provider	М	ID	1/3
			CODE	DEFINITION			
			ВІ	Billing			

### PAYER CITY/STATE/ZIP CODE

Loop: 2010BB — PAYER NAME

**Usage: SITUATIONAL** 

Repeat: 1

Notes: 1. Payer Address is required when the Submitter intends for the claim to

be printed to paper at the next EDI location (e.g., clearinghouse).

Example: N4\*CENTERVILLE\*PA\*17111~

### **STANDARD**

**N4** Geographic Location

Level: Detail Position: 030

**Loop:** 2010

Requirement: Optional

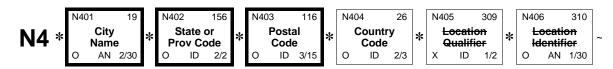
Max Use: 1

Purpose: To specify the geographic place of the named party

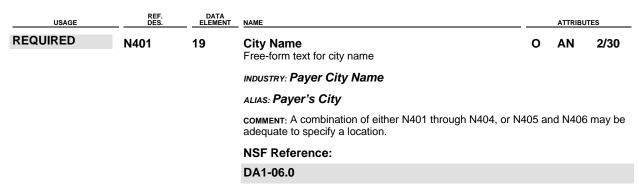
Syntax: 1. C0605

If N406 is present, then N405 is required.

### DIAGRAM



### **ELEMENT SUMMARY**



Note Deleted -

### **REQUIRED** CLM05 C023 **HEALTH CARE SERVICE LOCATION** INFORMATION To provide information that identifies the place of service or the type of bill related to the location at which a health care service was rendered ALIAS: Place of Service Code **NSF** Reference: FA0-07.0 CLM05 applies to all service lines unless it is over written at the line level. **REQUIRED** CLM05 - 1 1331 **Facility Code Value** AN Code identifying the type of facility where services were performed; the first and second positions of the Uniform Bill Type code or the Place of Service code from the Electronic Media Claims National Standard Format INDUSTRY: Facility Type Code Use this element for codes identifying a place of service from code source 237. As a courtesy, the codes are listed below; however, the code list is thought to be complete at the time of publication of this implementation guide. Since this list is subject to change, only codes contained in the document available from code source 237 are to be supported in this transaction and take precedence over any and all codes listed here. 11 Office 12 Home Inpatient Hospital 21 22 **Outpatient Hospital** 31 Skilled Nursing Facility 35 **Adult Living Care Facility NOT USED** CLM05 - 2 1332 **Facility Code Qualifier** 0 ID 1/2 **REQUIRED** CLM05 - 3 1325 Claim Frequency Type Code ID 1/1 Code specifying the frequency of the claim; this is the third position of the Uniform Billing Claim Form Bill Type Codes and Notes Deleted INDUSTRY: Claim Submission Reason Code CODE SOURCE 235: Claim Frequency Type Code **REQUIRED** CLM06 1073 Yes/No Condition or Response Code 0 ID 1/1 Code indicating a Yes or No condition or response INDUSTRY: Provider or Supplier Signature Indicator ALIAS: Provider Signature on File Code SEMANTIC: CLM06 is provider signature on file indicator. A "Y" value indicates the provider signature is on file; an "N" value indicates the provider signatue is not on **NSF** Reference: EA0-35.0 CODE DEFINITION N No

Yes

### PRIOR AUTHORIZATION OR REFERRAL NUMBER

Segment Name Changed

Loop: 2300 — CLAIM INFORMATION

**Usage: SITUATIONAL** 

Repeat: 2 -Repeat Changed

1. Numbers at this position apply to the entire claim unless they are overridden in the REF segment in Loop ID-2400. A reference identification is considered to be overridden if the value in REF01 is the same in both the Loop ID-2300 REF segment and the Loop ID-2400 REF segment. In that case, the Loop ID-2400 REF applies only to that specific line.

Note 2. Changed —— 2. Required where services on this claim were preauthorized or where a referral is involved. Generally, preauthorization/referral numbers are those numbers assigned by the payer/UMO to authorize a service prior to its being performed. The referral or prior authorization number carried in this REF is specific to the destination payer reported in the 2010BB loop. If other payers have similar numbers for this claim, report that information in the 2330 loop REF which holds that payer's information.

New Note 3. Added — 3. This segment should not be used for Predetermination of Benefits.

Example: REF\*9F\*12345~

### **STANDARD**

**REF** Reference Identification

Level: Detail

Position: 180

Loop: 2300

Requirement: Optional

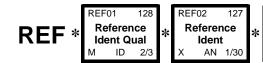
Max Use: 30

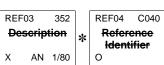
**Purpose:** To specify identifying information

Syntax: 1. R0203

At least one of REF02 or REF03 is required.

### **DIAGRAM**





USAGE	REF. DES.	DATA ELEMENT	NAME			ATTRIBL	ITES
REQUIRED	REF01	128	Reference Ide Code qualifying	M	ID	2/3	
			CODE	DEFINITION			
			9F	Referral Number			
New Code Add	ded ———		G1	Prior Authorization Number			
REQUIRED	REF02	127		entification mation as defined for a particular Transade e Identification Qualifier	<b>X</b> ction Set	AN or as sp	1/30 pecified
			INDUSTRY: Refe	ral Number			
			<b>SYNTAX</b> : R0203				
NOT USED	REF03	352	Description		Χ	AN	1/80
NOT USED	REF04	C040	REFERENCE	IDENTIFIER	0		

# REFERRING PROVIDER SPECIALTY INFORMATION

Loop: 2310A — REFERRING PROVIDER NAME

**Usage: SITUATIONAL** 

Repeat: 1

Notes: 1. Required when adjudication is known to be impacted by provider

taxonomy code.

Note 1. Changed

2. PRV02 qualifies PRV03.

Example: PRV\*RF\*ZZ\*1223E0200Y~

### **STANDARD**

### **PRV** Provider Information

Level: Detail

Position: 255

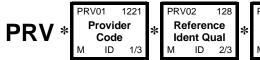
Loop: 2310

Requirement: Optional

Max Use: 1

Purpose: To specify the identifying characteristics of a provider

### DIAGRAM











USAGE	REF. DES.	DATA ELEMENT	NAME			ATTRIBU	ITES
REQUIRED	PRV01	1221	Provider Code Code indentifying	<b>e</b> g the type of provider	M	ID	1/3
			CODE	DEFINITION			
			RF	Referring			

### RENDERING PROVIDER SPECIALTY INFORMATION

Loop: 2310B — RENDERING PROVIDER NAME

Usage: SITUATIONAL —— Usage Changed

Repeat: 1

Notes: 1. The PRV segment in Loop ID-2310 applies to the entire claim unless

overridden on the service line level by the presence of the PRV

segment with the same value in PRV01.

2. PRV02 qualifies PRV03.

New Note 3. Added-3. Required when adjudication is known to be impacted by provider

taxonomy code.

Example: PRV\*PE\*ZZ\*1223E0200Y~

### STANDARD

### **PRV** Provider Information

Level: Detail

Position: 255

Loop: 2310

Requirement: Optional

Max Use: 1

Purpose: To specify the identifying characteristics of a provider

### **DIAGRAM**













USAGE	REF. DES.	DATA ELEMENT	NAME			ATTRIBL	JTES
REQUIRED	PRV01	1221	Provider Code Code indentify	<b>de</b> ing the type of provider	М	ID	1/3
			CODE	DEFINITION			
			PE	Performing			

### **ASSISTANT SURGEON NAME**

Loop: 2310D — ASSISTANT SURGEON NAME Repeat: 1

**Usage: SITUATIONAL** 

Repeat: 1

Notes:

- 1. Information in the Loop ID-2310 applies to the entire claim unless overridden on a service line by the presence of loop ID-2420 with the same value in the NM101.
- 2. Because the usage of this segment is "situational" this is not a syntactically required loop. If the loop is used, then it is a "required" segment. See Appendix A for further details on ASC X12 nomenclature and X12 syntax rules.
- 3. Required when the Assistant Surgeon information is needed to facilitate reimbursement of the claim.

Example: NM1\*AS\*SMITH\*JOHN\*S\*\*\*34\*123456789~

### **STANDARD**

NM1 Individual or Organizational Name

Level: Detail Position: 250

Loop: 2310 Repeat: 9

Requirement: Optional

Max Use: 1

Purpose: To supply the full name of an individual or organizational entity

**Set Notes:** 1. Loop 2310 contains information about the rendering, referring, or attending

provider.

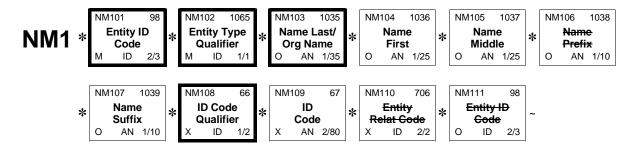
Syntax: 1. P0809

If either NM108 or NM109 is present, then the other is required.

2. C1110

If NM111 is present, then NM110 is required.

### **DIAGRAM**



USAGE	REF. DES.	DATA ELEMENT	NAME			ATTRIBU	TES
REQUIRED	NM101	98	Entity Identifi Code identifying individual	er Code an organizational entity, a physical location	<b>M</b> , prop	<b>ID</b> perty or a	<b>2/3</b> an
			The entity ide 2310.	entifier in NM101 applies to all segme	nts i	in Loop	D-
			CODE	DEFINITION			
			DD	Assistant Surgeon			
REQUIRED	NM102	1065	Entity Type Q Code qualifying	<b>Qualifier</b> the type of entity	M	ID	1/1
			SEMANTIC: NM10	2 qualifies NM103.			
			CODE	DEFINITION			
			1	Person			
			2	Non-Person Entity			
REQUIRED	NM103	1035		Organization Name ame or organizational name	0	AN	1/35
			INDUSTRY: <b>Assis</b>	stant Surgeon Last or Organization N	lame	•	
			ALIAS: <b>Assistar</b>	nt Surgeon Last Name			
SITUATIONAL	NM104	1036	Name First Individual first na	ame	0	AN	1/25
			INDUSTRY: <b>Assis</b>	stant Surgeon First Name			
			Required if N	M102 = 1 (person).			
SITUATIONAL	NM105	1037	Name Middle Individual middle	e name or initial	0	AN	1/25
			INDUSTRY: <b>Assis</b>	stant Surgeon Middle Name			
			Required whe	en middle name/initial of person is kn	owr	١.	
NOT USED	NM106	1038	Name Prefix		0	AN	1/10
SITUATIONAL	NM107	1039	Name Suffix Suffix to individu	ual name	0	AN	1/10
			INDUSTRY: <b>Assis</b>	stant Surgeon Name Suffix			
			Required if kr	nown.			
REQUIRED	NM108	66		Code Qualifier  ng the system/method of code structure used	<b>X</b> d for I	<b>ID</b> dentifica	<b>1/2</b> ation
			<b>SYNTAX:</b> P0809				
			CODE	DEFINITION			
			24	Employer's Identification Number			
			34	Social Security Number			
				,			

	ASC X12N • INSURANCE SUBCOMMITTEE IMPLEMENTATION GUIDE		New Segment Added	004010X097A1 • 837 • 2310D • NM1 ASSISTANT SURGEON NAME						
			XX Health Care Financing Administraion National Provider Identifier Required value if the National Provider ID is mandated for use. Otherwise, one of the other lis codes may be used.							
SITUATIONAL	NM109	67	Identification Code Code identifying a party or other of	X AN 2/80						
			INDUSTRY: Assistant Surgeon Identifier							
			ALIAS: Assistant Surgeon's Primary Identification Number							
			<b>SYNTAX:</b> P0809							
NOT USED	NM110	706	Entity Relationship Code	X ID 2/2						

O ID 2/3

Entity Identifier Code

98

NOT USED NM111

### ASSISTANT SURGEON SPECIALTY INFORMATION

Loop: 2310D — ASSISTANT SURGEON NAME

**Usage: SITUATIONAL** 

Repeat: 1

Notes:

- 1. Information in the Loop ID-2310 applies to the entire claim unless overridden on a service line by the presence of loop ID-2420 with the same value in the NM101.
- 2. Required when the Assistant Surgeon specialty information is needed to facilitate reimbursement of the claim.

Example: PRV\*AS\*ZZ\*1223S0112Y~

### **STANDARD**

**PRV** Provider Information

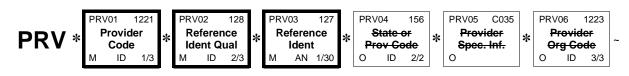
Level: Detail Position: 255 Loop: 2310

Requirement: Optional

Max Use: 1

Purpose: To specify the identifying characteristics of a provider

### **DIAGRAM**



USAGE	REF. DES.	DATA ELEMENT	NAME			ATTRIBL	JTES
REQUIRED	PRV01	1221	Provider Co-	<b>de</b> ing the type of provider	M	ID	1/3
			CODE	DEFINITION			
			AS	Assistant Surgeon			

ASC X12N • INSURANCE SUBCOMMITTEE IMPLEMENTATION GUIDE		New Segme	0040 nt Added ASSISTANT SURGE	10X097A1 • 83 ON SPECIALT			
REQUIRED	PRV02	128		lentification Qualifier g the Reference Identification	М	ID	2/3
			CODE	DEFINITION			
			ZZ	Mutually Defined			
				ZZ is used to indicate the factorial Taxonomy" code list (proving available on the Washin web site: http://www.wpc-emaintained by the Blue Crops Association and ANSI ASC	rider specialt gton Publish edi.com. This oss Blue Shie	y code ing Co taxon	) which mpany
REQUIRED	PRV03	127		lentification rmation as defined for a particular T ce Identification Qualifier	<b>M</b> ransaction Set	AN or as sp	1/30 pecified
			INDUSTRY: <b>Pro</b> v	rider Taxonomy Code			
			ALIAS: <b>Provide</b>	er Specialty Code			
NOT USED	PRV04	156	State or Pro	vince Code	0	ID	2/2
NOT USED	PRV05	C035	PROVIDER S	SPECIALTY INFORMATION	0		
NOT USED	PRV06	1223	Provider Org	ganization Code	0	ID	3/3

# ASSISTANT SURGEON SECONDARY IDENTIFICATION

Loop: 2310D — ASSISTANT SURGEON NAME

**Usage: SITUATIONAL** 

Repeat: 1

Notes: 1. Use this REF segment only if a second number is necessary to

identify the provider. The primary identification number should be

contained in the NM109.

Example: REF\*0B\*12345~

### **STANDARD**

**REF** Reference Identification

Level: Detail

Position: 271

Loop: 2310

Requirement: Optional

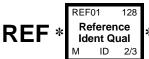
Max Use: 20

Purpose: To specify identifying information

Syntax: 1. R0203

At least one of REF02 or REF03 is required.

### DIAGRAM









USAGE	REF. DES.	DATA ELEMENT	NAME			ATTRIBL	ITES
REQUIRED	REF01	128		Reference Identification Qualifier Code qualifying the Reference Identification		ID	2/3
			CODE	DEFINITION			
			0B	State License Number			
			1A	Blue Cross Provider Number			
			1B	Blue Shield Provider Number			
			1C	Medicare Provider Number			
			1D	Medicaid Provider Number			
			1E	Dentist License Number			

			1H	1H CHAMPUS Identification Number							
			G2	Provider Commercial Number							
			LU	Location Number							
			TJ	Federal Taxpayer's Identification	Numb	er					
			X4	Clinical Laboratory Improvement Number	Amer	ndment					
			X5	State Industrial Accident Provider	Num	ber					
REQUIRED	REF02	127		entification nation as defined for a particular Transaction e Identification Qualifier	<b>X</b> on Set	AN or as sp	1/30 ecified				
			INDUSTRY: <b>Assis</b>	tant Surgeon Secondary Identifier							
			ALIAS: <b>Assistar</b>	t Surgeon Secondary Identification	Num	ber					
			<b>SYNTAX</b> : R0203								
NOT USED	REF03	352	Description		X	AN	1/80				
NOT USED	REF04	C040	REFERENCE	IDENTIFIER	0						

### **CLAIM ADJUSTMENT**

Loop: 2320 — OTHER SUBSCRIBER INFORMATION

**Usage: SITUATIONAL** 

Repeat: 5

Notes: 1. Submitters should use the CAS segment to report claim level adjustments from prior payers that cause the amount paid to differ

from the amount originally charged.

### Note 2. Changed -

 2. If it is necessary to send more than one Group Code at the claim level, repeat the CAS segment.

- 3. Codes and associated amounts should come from the 835s (Remittance Advice) received on the claim. If no previous payments have been made, omit this segment. See the 835 for definitions of the group codes (CAS01).
- 4. Required if the claim has been adjudicated by payer identified in this loop and has claim level adjustment information.
- 5. To locate the claim adjustment reason codes that are used in CAS02, 05, 08, 11, 14 and 17 see the Washington Publishing Company website: http://www.wpc-edi.com. Follow the buttons to Code Lists Claim Adjustment Reason Codes.

### OTHER PAYER PRIOR AUTHORIZATION OR REFERRAL NUMBER ~

Loop: 2330B — OTHER PAYER NAME

Segment Name Changed

**Usage: SITUATIONAL** 

Repeat: 2 -Repeat Changed

Note 1. Changed

Notes: \_ 1. Used when the payer identified in this loop has given a prior authorization or referral number to this claim. This element is primarily used in payer-to-payer COB situations.

> 2. There can only be a maximum of three REF segments in any one iteration of the 2330 loop.

New Note 3. Added — 3. This segment should not be used for Predetermination of Benefits.

Example: REF\*9F\*AB333-Y5~

### **STANDARD**

**REF** Reference Identification

Level: Detail Position: 355 Loop: 2330

Requirement: Optional

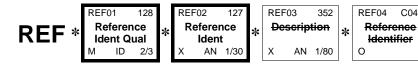
Max Use: 3

Purpose: To specify identifying information

1. R0203 Syntax:

At least one of REF02 or REF03 is required.

### **DIAGRAM**



### **ELEMENT SUMMARY**

USAGE	REF. DES.	DATA ELEMENT	NAME			ATTRIBL	JTES
REQUIRED	REF01	128		lentification Qualifier g the Reference Identification	M	ID	2/3
			CODE	DEFINITION			
			9F	Referral Number			
New Code	e Added —		G1	Prior Authorization Number			

C040

USAGE	REF. DES.	DATA ELEMENT	NAME		ATTRIBUTES		
REQUIRED	NM101	98	Entity Identi Code identifyir individual	fier Code ng an organizational entity, a physical location	<b>M</b> prop	<b>ID</b> perty or	<b>2/3</b> an
			CODE	DEFINITION			
			QC	Patient			
REQUIRED	NM102	1065	Entity Type Code qualifying	<b>Qualifier</b> g the type of entity	M	ID	1/1
			SEMANTIC: NM1	02 qualifies NM103.			
			CODE	DEFINITION			
	Usage Cha	anged	1	Person			
SITUATIONAL	NM103	1035	Name Last o	or Organization Name	0	AN	1/35
Industry Na	ame Delete	d ———		name or organizational name			
NOT USED	NM104	1036	Name First		0	AN	1/25
NOT USED	NM105	1037	Name Middle	e	0	AN	1/25
NOT USED	NM106	1038	Name Prefix		0	AN	1/10
NOT USED	NM107	1039	Name Suffix	t	0	AN	1/10
REQUIRED	NM108	66		n Code Qualifier ing the system/method of code structure used	<b>X</b> for l	<b>ID</b> dentifica	<b>1/2</b> ation
			<b>SYNTAX:</b> P0809				
			CODE	DEFINITION			
			MI	Member Identification Number			
REQUIRED	NM109	67	Identification Code identifyin	n Code ng a party or other code	X	AN	2/80
			INDUSTRY: Oth	er Payer Patient Primary Identifier			
			ALIAS: Patient	s Other Payer Primary Identification I	Vum	ber	
			<b>SYNTAX:</b> P0809				
NOT USED	NM110	706	Entity Relati	ionship Code	X	ID	2/2
			-				

REQUIRED	SV301 - 2	234	Product/Service ID M AN 1/48 Identifying number for a product or service
			INDUSTRY: Procedure Code
			NSF Reference:
			FA0-09.0
SITUATIONAL	SV301 - 3	1339	Procedure Modifier O AN 2/2 This identifes special circumstances related to the performance of the service, as defined by trading partners
			ALIAS: Procedure Code Modifier
			NSF Reference:
			FA0-10.0
			Use this modifier for the first procedure code modifier.
Note Changed			A modifier must be from code source 135 (American Denta Association) found in the 'Code on Dental Procedures and Nomenclature', if such modifier is available.
SITUATIONAL	SV301 - 4	1339	Procedure Modifier O AN 2/2 This identifies special circumstances related to the performance of the service, as defined by trading partners
			ALIAS: Procedure Code Modifier
			NSF Reference:
			FA0-11.0
			Use this modifier for the second procedure code modifier.
Note Changed			A modifier must be from code source 135 (American Denta
			Association) found in the 'Code on Dental Procedures and Nomenclature', if such modifier is available.
SITUATIONAL	SV301 - 5	1339	Procedure Modifier O AN 2/2 This identifies special circumstances related to the performance of the service, as defined by trading partners
			ALIAS: Procedure Code Modifier
			NSF Reference:
			FA0-12.0
			Use this modifier for the third procedure code modifier.
Note Changed			A modifier must be from code source 135 (American Denta Association) found in the 'Code on Dental Procedures and Nomenclature', if such modifier is available.

SITUATIONAL	SV301 - 6	3	1339	Procedure Modifier This identifies special circumstances related to the service, as defined by trading partners	<b>O</b> perfo	<b>AN</b> ormance	<b>2/2</b> of the	
				ALIAS: Procedure Code Modifier				
				NSF Reference:				
				FA0-36.0				
				Use this modifier for the fourth procedure	code	e modi	fier.	
Note	Changed			A modifier must be from code source 135 Association) found in the 'Code on Dental Nomenclature', if such modifier is availab	Pro			
NOT USED	SV301 - 7	7	352	Description	0	AN	1/80	
REQUIRED	SV302	782		ary Amount ry amount	0	R	1/18	
			INDUSTR	y: Line Item Charge Amount				
			ALIAS: <b>L</b>	ine Charge Amount				
			SEMANTI	c: SV302 is a submitted charge amount.				
			NSF R	eference:				
			FA0-13	3.0				
			Zero "	0" is an acceptable value for this element.				
SITUATIONAL	SV303	1331	Facility Code Value O AN 1/. Code identifying the type of facility where services were performed; the first an second positions of the Uniform Bill Type code or the Place of Service code from the Electronic Media Claims National Standard Format					
			INDUSTR	y: Facility Type Code				
				c: SV303 is the place of service code representing treatment was rendered.	he loc	ation wh	nere the	
			_	ed if the Place of Service is different than t e reported in the CLM segment in the 2300				
			source code li implen codes are to	is element for codes identifying a place of a 237. As a courtesy, the codes are listed be st is thought to be complete at the time of mentation guide. Since this list is subject to contained in the document available from the supported in this transaction and take p d all codes listed here.	elow; publi chai code	however cation nge, on source	ver, the of this aly e 237	
			11 12 21 22 31 35	Office Home Inpatient Hospital Outpatient Hospital Skilled Nursing Facility Adult Living Care Facility				
SITUATIONAL	SV304	C006	_	CAVITY DESIGNATION ify one or more areas of the oral cavity	0			
			Requir	ed to report areas of the mouth that are be	ing tr	reated.		

# PRIOR AUTHORIZATION OR REFERRAL NUMBER ——Segment Name Changed

Loop: 2400 — LINE COUNTER

**Usage: SITUATIONAL** 

Repeat: 2 Repeat Changed

Notes: 1. Required if service line involved a prior authorization number or referral number that is different than the number reported at the claim.

New Note 2. Added —— 2. This segment should not be used for Predetermination of Benefits.

Example: REF\*9F\*123456567~

### **STANDARD**

**REF** Reference Identification

Level: Detail

Position: 470

Loop: 2400

Requirement: Optional

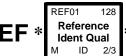
Max Use: 30

Purpose: To specify identifying information

Syntax: 1. R0203

At least one of REF02 or REF03 is required.

### **DIAGRAM**









USAGE	REF. DES.	DATA ELEMENT	NAME			ATTRIBL	JTES
REQUIRED	REF01	128	Reference Identification Qualifier Code qualifying the Reference Identification			ID	2/3
			CODE	DEFINITION			
			9F	Referral Number			
New Code Added	l <del></del>		G1	Prior Authorization Number			
REQUIRED	REF02	127	Reference Identification Reference information as defined for a particular Transaction by the Reference Identification Qualifier		<b>X</b> n Set	AN or as s	1/30 pecified
			INDUSTRY: Refer	ral Number			
			<b>SYNTAX:</b> R0203				

### **SALES TAX AMOUNT**

Loop: 2400 — LINE COUNTER

**Usage: SITUATIONAL** 

Repeat: 1

Notes: 1. Required if sales tax applies to service line and submitter is required

to report that information to the receiver.

Example: AMT\*T\*45~

### STANDARD

### **AMT** Monetary Amount

Level: Detail Position: 475

Loop: 2400

Requirement: Optional

Max Use: 15

Purpose: To indicate the total monetary amount

### DIAGRAM







USAGE	REF. DES.	DATA ELEMENT	NAME			ATTRIBU	TES
REQUIRED	AMT01	522	Amount Qualifier Code Code to qualify amount		М	ID	1/3
			CODE	DEFINITION			
			Т	Tax			
REQUIRED	AMT02	782	Monetary Amo		M	R	1/18
			INDUSTRY: Sales	Tax Amount			
NOT USED	AMT03	478	Credit/Debit F	lag Code	0	ID	1/1

# RENDERING PROVIDER SPECIALTY INFORMATION

Loop: 2420A — RENDERING PROVIDER NAME

Usage: SITUATIONAL — Usage Changed

Repeat: 1

Notes: 1. PRV02 qualifies PRV03.

New Note 2. Added ——2. Required when adjudication is known to be impacted by provider

taxonomy code.

Example: PRV\*PE\*ZZ\*1223P0300Y~

### **STANDARD**

### **PRV** Provider Information

Level: Detail

Position: 505

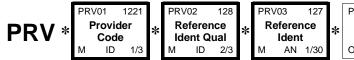
Loop: 2420

Requirement: Optional

Max Use: 1

Purpose: To specify the identifying characteristics of a provider

### DIAGRAM









USAGE	REF. DES.	DATA ELEMENT	NAME			ATTRIBU	TES
REQUIRED	PRV01	1221	Provider Code Code indentifyin	<b>e</b> g the type of provider	M	ID	1/3
			CODE	DEFINITION			
			PE	Performing			

### OTHER PAYER PRIOR AUTHORIZATION OR REFERRAL NUMBER ——— Segment Name Changed

Loop: 2420B — OTHER PAYER REFERRAL NUMBER

**Usage: SITUATIONAL** 

 Repeat Changed Repeat: 2 -

1. Used when COB Payer (listed in 2330B loop) has one or more line-

level referral numbers for this service line.

New Note 2. Added —— 2. This segment should not be used for Predetermination of Benefits.

Example: REF\*9F\*AB333-Y6~

### **STANDARD**

**REF** Reference Identification

Level: Detail

Position: 525

Loop: 2420

Requirement: Optional

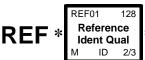
Max Use: 20

Purpose: To specify identifying information

1. R0203 Syntax:

At least one of REF02 or REF03 is required.

### **DIAGRAM**









### **ELEMENT SUMMARY**

USAGE	REF. DES.	DATA ELEMENT	NAME			ATTRIBU	ITES
REQUIRED	REF01	128	Reference Identification Qualifier Code qualifying the Reference Identification			ID	2/3
			CODE	DEFINITION			
			9F	Referral Number			
New Code Adde	d ———		G1	Prior Authorization Number			
REQUIRED	REF02	127		entification mation as defined for a particular Transaction ce Identification Qualifier	<b>X</b> on Set	AN or as sp	1/30 pecified
			INDUSTRY. Othe	or Paver Prior Authorization or Refer	ral Ni	ımhar	

INDUSTRY: Other Payer Prior Authorization or Referral Number

**SYNTAX:** R0203

### ASSISTANT SURGEON NAME

Loop: 2420C — ASSISTANT SURGEON NAME Repeat: 1

**Usage: SITUATIONAL** 

Repeat: 1

1. Required if the Assistant Surgeon information in this Loop ID-2420C is Notes:

different from the Assistant Surgeon information supplied in the Loop

ID-2310D.

2. Because the usage of this segment is "situational" this is not a syntactically required loop. If the loop is used, then it is a "required"

segment. See Appendix A for further details on ASC X12

nomenclature and X12 syntax rules.

3. Required when the Assistant Surgeon information is needed to

facilitate reimbursement of the claim.

Example: NM1\*AS\*SMITH\*JOHN\*S\*\*\*34\*123456789~

### **STANDARD**

NM1 Individual or Organizational Name

Level: Detail Position: 500

Loop: 2420 Repeat: 10

Requirement: Optional

Max Use: 1

**Purpose:** To supply the full name of an individual or organizational entity

Set Notes:

1. Loop 2420 contains information about the rendering, referring, or attending provider on a service line level. These segments override the information in the claim - level segments if the entity identifier codes in each NM1

segment are the same.

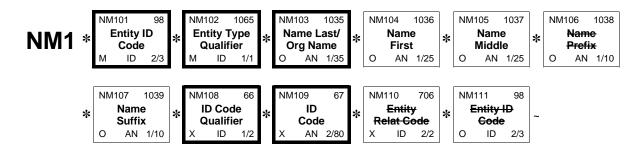
Syntax: 1. P0809

If either NM108 or NM109 is present, then the other is required.

2. C1110

If NM111 is present, then NM110 is required.

### **DIAGRAM**



USAGE	REF. DES.	DATA ELEMENT	NAME			ATTRIBUT	ES		
REQUIRED	NM101	98	Entity Identified Code identifying individual	er Code an organizational entity, a physical location	<b>M</b> , prop	<b>ID</b> perty or a	<b>2/3</b> in		
			The entity identifier in NM101 applies to all segments in Loop ID-2310.						
			CODE	DEFINITION					
			DD	Assistant Surgeon					
REQUIRED	NM102	1065	Entity Type Qu Code qualifying t		M	ID	1/1		
			SEMANTIC: NM102 qualifies NM103.						
			CODE	DEFINITION					
			1	Person					
			2	Non-Person Entity					
REQUIRED	NM103	1035		Organization Name Ime or organizational name	0	AN	1/35		
			INDUSTRY: Assistant Surgeon Last or Organization Name						
			ALIAS: <b>Assistan</b>	t Surgeon Last Name					
SITUATIONAL	NM104	1036	Name First Individual first na	ame	0	AN	1/25		
			INDUSTRY: <b>Assis</b>	tant Surgeon First Name					
			Required if NN	M102 = 1 (person).					
SITUATIONAL	NM105	1037	Name Middle Individual middle	name or initial	0	AN	1/25		
			INDUSTRY: Assis	tant Surgeon Middle Name					
			Required whe	n middle name/initial of person is kr	nown	١.			
NOT USED	NM106	1038	Name Prefix		0	AN	1/10		
SITUATIONAL	NM107	1039	Name Suffix Suffix to individua	al name	0	AN	1/10		
			INDUSTRY: Assis	tant Surgeon Name Suffix					
			Required if kn	own.					
REQUIRED	NM108	66		Code Qualifier g the system/method of code structure used	<b>X</b> d for le	<b>ID</b> dentificat	<b>1/2</b> tion		
			<b>SYNTAX</b> : P0809						
			CODE	DEFINITION					
			24	Employer's Identification Number					
			34	Social Security Number					

ASC X12N • INSURANCE SUBCOMMITTEE IMPLEMENTATION GUIDE		New Segment Added	004010X097A1 ◆ 837 ◆ 2420C ◆ NM1 ASSISTANT SURGEON NAME						
			Provider Identi Required value	e if the National Provider ID is use. Otherwise, one of the other listed					
REQUIRED	NM109	67	Identification Code Code identifying a party or other code	X AN 2/80					
			INDUSTRY: Assistant Surgeon Identifier						
			ALIAS: Assistant Surgeon's Prin	mary Identification Number					
			<b>SYNTAX:</b> P0809						
NOT USED	NM110	706	<b>Entity Relationship Code</b>	X ID 2/2					

**Entity Identifier Code** 

98

NM111

ID

2/3

NOT USED

### ASSISTANT SURGEON SPECIALTY INFORMATION

Loop: 2420C — ASSISTANT SURGEON NAME

**Usage: SITUATIONAL** 

Repeat: 1

Notes: 1. PRV02 qualifies PRV03.

> 2. Required when the Assistant Surgeon specialty information is needed to facilitate reimbursement of the claim.

Example: PRV\*AS\*ZZ\*1223S0112Y~

### **STANDARD**

### **PRV** Provider Information

Level: Detail

Position: 505

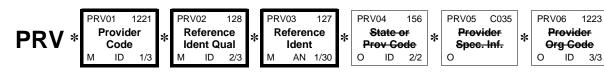
Loop: 2420

Requirement: Optional

Max Use: 1

Purpose: To specify the identifying characteristics of a provider

### DIAGRAM



### **ELEMENT SUMMARY**

USAGE	REF. DES.	DATA ELEMENT	NAME			ATTRIBU	JTES
REQUIRED	PRV01	1221	Provider Code Code indentify	<b>de</b> ng the type of provider	М	ID	1/3
			CODE	DEFINITION			
			AS	Assistant Surgeon			

3/3

ASC X12N • INSURANCE SUBCOMMITTEE IMPLEMENTATION GUIDE		New Segme	nt Added ASSISTANT SURGEO	X097A1 • 83 N SPECIALT			
REQUIRED	PRV02	128		lentification Qualifier g the Reference Identification	М	ID	2/3
			CODE	DEFINITION			
			ZZ	Mutually Defined			
				ZZ is used to indicate the "H Taxonomy" code list (provide is available on the Washington web site: http://www.wpc-ed maintained by the Blue Crost Association and ANSI ASC	der specialty on Publish li.com. This ss Blue Shie	y code ing Co taxon	e) which empany
REQUIRED	PRV03	127		lentification rmation as defined for a particular Tra ce Identification Qualifier	<b>M</b> ansaction Set	AN or as sp	1/30 pecified
			INDUSTRY: <b>Pro</b>	vider Taxonomy Code			
			ALIAS: <b>Provide</b>	er Specialty Code			
NOT USED	PRV04	156	State or Pro	vince Code	0	ID	2/2
NOT USED	PRV05	C035	PROVIDER S	SPECIALTY INFORMATION	0		
NOT USED	PRV06	1223	Provider Org	ganization Code	0	ID	3/3

# ASSISTANT SURGEON SECONDARY IDENTIFICATION

Loop: 2420C — ASSISTANT SURGEON NAME

**Usage: SITUATIONAL** 

Repeat: 1

Notes: 1. Use this REF segment only if a second number is necessary to

identify the provider. The primary identification number should be

contained in the NM109.

Example: REF\*0B\*12345~

### **STANDARD**

**REF** Reference Identification

Level: Detail

Position: 525

Loop: 2420

Requirement: Optional

Max Use: 20

**Purpose:** To specify identifying information

Syntax: 1. R0203

At least one of REF02 or REF03 is required.

### DIAGRAM









USAGE	REF. DES.	DATA ELEMENT	NAME			ATTRIBL	ITES
REQUIRED	REF01	128		Reference Identification Qualifier Code qualifying the Reference Identification		ID	2/3
			CODE	DEFINITION			
			0B	State License Number			
			1A	Blue Cross Provider Number			
			1B	Blue Shield Provider Number			
			1C	Medicare Provider Number			
			1D	Medicaid Provider Number			
			1E	Dentist License Number			

			1H	1H CHAMPUS Identification Number							
			G2 Provider Commercial Number								
			LU	Location Number							
			TJ	TJ Federal Taxpayer's Identification Number							
			X4	Clinical Laboratory Improvement Amendment Number							
			X5	State Industrial Accident Provider	Num	ber					
REQUIRED	REF02	127	Reference Identification X AN Reference information as defined for a particular Transaction Set or as so by the Reference Identification Qualifier			1/30 ecified					
			INDUSTRY: <b>Assis</b>	tant Surgeon Secondary Identifier							
			ALIAS: <b>Assistar</b>	nt Surgeon Secondary Identification	Num	ber					
			<b>SYNTAX</b> : R0203								
NOT USED	REF03	352	Description		X	AN	1/80				
NOT USED	REF04	C040	REFERENCE	IDENTIFIER	0						

SITUATIONAL	SVD03 - 3	1339	Procedure Modifier O AN 2/2 This identifies special circumstances related to the performance of the service, as defined by trading partners
			Use this modifier for the first procedure code modifier.
			Required when a modifier clarifies/improves the reporting accuracy of the associated procedure code.
New Note Added			A modifier must be from code source 135 (American Dental Association) found in the 'Code on Dental Procedures and Nomenclature', if such modifier is available.
SITUATIONAL	SVD03 - 4	1339	Procedure Modifier O AN 2/2 This identifies special circumstances related to the performance of the service, as defined by trading partners
			Use this modifier for the second procedure code modifier.
			Required when a modifier clarifies/improves the reporting accuracy of the associated procedure code.
New Note Added			A modifier must be from code source 135 (American Dental Association) found in the 'Code on Dental Procedures and Nomenclature', if such modifier is available.
SITUATIONAL	TUATIONAL SVD03 - 5	1339	Procedure Modifier O AN 2/2 This identifies special circumstances related to the performance of the service, as defined by trading partners
			Use this modifier for the third procedure code modifier.
			Required when a modifier clarifies/improves the reporting accuracy of the associated procedure code.
New Note Added			A modifier must be from code source 135 (American Dental Association) found in the 'Code on Dental Procedures and Nomenclature', if such modifier is available.
SITUATIONAL	SVD03 - 6	1339	Procedure Modifier O AN 2/2 This identifies special circumstances related to the performance of the service, as defined by trading partners
			Use this modifier for the fourth procedure code modifier.
			Required when a modifier clarifies/improves the reporting accuracy of the associated procedure code.
New Note Added	1		A modifier must be from code source 135 (American Dental Association) found in the 'Code on Dental Procedures and Nomenclature', if such modifier is available.
SITUATIONAL	SVD03 - 7	352	<b>Description</b> A free-form description to clarify the related data elements and their content
			INDUSTRY: Procedure Code Description
			Required if SVC01-7 was returned in the 835 transaction.
NOT USED	SVD04	234 Produ	uct/Service ID O AN 1/48

Data elements are assigned a unique reference number. Each data element has a name, description, type, minimum length, and maximum length. For ID type data elements, this guide provides the applicable ASC X12 code values and their descriptions or references where the valid code list can be obtained.

Each data element is assigned a minimum and maximum length. The length of the data element value is the number of character positions used except as noted for numeric, decimal, and binary elements.

The data element types shown in matrix A4, Data Element Types, appear in this implementation guide.

SYMBOL	TYPE
Nn	Numeric
R	Decimal
ID	Identifier
AN	String
DT	Date
TM	Time
В	Binary

Matrix A4. Data Element Types

### A.1.3.1.1 Numeric

A numeric data element is represented by one or more digits with an optional leading sign representing a value in the normal base of 10. The value of a numeric data element includes an implied decimal point. It is used when the position of the decimal point within the data is permanently fixed and is not to be transmitted with the data.

This set of guides denotes the number of implied decimal positions. The representation for this data element type is "Nn" where N indicates that it is numeric and n indicates the number of decimal positions to the right of the implied decimal point.

If n is 0, it need not appear in the specification; N is equivalent to N0. For negative values, the leading minus sign (-) is used. Absence of a sign indicates a positive value. The plus sign (+) should not be transmitted.

### **EXAMPLE**

A transmitted value of 1234, when specified as numeric type N2, represents a value of 12.34.

Leading zeros should be suppressed unless necessary to satisfy a minimum length requirement. The length of a numeric type data element does not include the optional sign.

### A.1.3.1.2 Decimal

A decimal data element may contain an explicit decimal point and is used for numeric values that have a varying number of decimal positions. This data element type is represented as "R."

The decimal point always appears in the character stream if the decimal point is at any place other than the right end. If the value is an integer (decimal point at the right end) the decimal point should be omitted. For negative values, the leading minus sign (-) is used. Absence of a sign indicates a positive value. The plus sign (+) should not be transmitted.

Leading zeros should be suppressed unless necessary to satisfy a minimum length requirement. Trailing zeros following the decimal point should be suppressed unless necessary to indicate precision. The use of triad separators (for example, the commas in 1,000,000) is expressly prohibited. The length of a decimal type data element does not include the optional leading sign or decimal point.

### **EXAMPLE**

A transmitted value of 12.34 represents a decimal value of 12.34.

### New note

For implementation of this guide under the rules promulgated under the Health Insurance Portability and Accountability Act (HIPAA), decimal data elements in Data Element 782 (Monetary Amount) will be limited to a maximum length of 10 characters including reported or implied places for cents (implied value of 00 after the decimal point). Note the statement in the preceding paragraph that the decimal point and leading sign, if sent, are not part of the character count.

### A.1.3.1.3 Identifier

An identifier data element always contains a value from a predefined list of codes that is maintained by the ASC X12 Committee or some other body recognized by the Committee. Trailing spaces should be suppressed unless they are necessary to satisfy a minimum length. An identifier is always left justified. The representation for this data element type is "ID."

### A.1.3.1.4 String

A string data element is a sequence of any characters from the basic or extended character sets. The significant characters shall be left justified. Leading spaces, when they occur, are presumed to be significant characters. Trailing spaces should be suppressed unless they are necessary to satisfy a minimum length. The representation for this data element type is "AN."

### A.1.3.1.5 Date

A date data element is used to express the standard date in either YYMMDD or CCYYMMDD format in which CC is the first two digits of the calendar year, YY is the last two digits of the calendar year, MM is the month (01 to 12), and DD is the day in the month (01 to 31). The representation for this data element type is "DT." Users of this guide should note that all dates within transactions are 8-character dates (millennium compliant) in the format CCYYMMDD. The only date data element that is in format YYMMDD is the Interchange Date data element in the ISA segment, and also used in the TA1 Interchange Acknowledgment, where the century can be readily interpolated because of the nature of an interchange header.

### A.1.3.1.6 **Time**

A time data element is used to express the ISO standard time HHMMSSd..d format in which HH is the hour for a 24 hour clock (00 to 23), MM is the minute (00 to 59), SS is the second (00 to 59) and d..d is decimal seconds. The representation for this data element type is "TM." The length of the data element determines the format of the transmitted time.

### **FXAMPIF**

Transmitted data elements of four characters denote HHMM. Transmitted data elements of six characters denote HHMMSS.

### **FUNCTIONAL GROUP HEADER**

Example: GS\*HC\*SENDER CODE\*RECEIVER

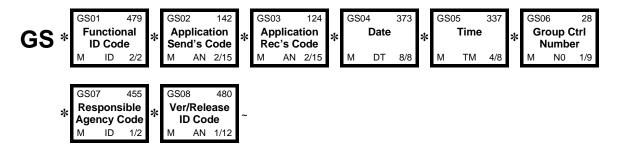
CODE\*19940331\*0802\*1\*X\*004010X097A1~ Example changed

### **STANDARD**

**GS** Functional Group Header

Purpose: To indicate the beginning of a functional group and to provide control information

### DIAGRAM



USAGE	REF. DES.	DATA ELEMENT	NAME		ATTRIBU	TES
REQUIRED	GS01	479	Functional Identifier Code Code identifying a group of application related transaction s  CODE DEFINITION	<b>M</b> ets	ID	2/2
			HC Health Care Claim (837)			
REQUIRED	GS02	142	<b>Application Sender's Code</b> Code identifying party sending transmission; codes agreed	<b>M</b> to by	<b>AN</b> trading p	2/15 partners
			Use this code to identify the unit sending the info	rmat	ion.	
REQUIRED	GS03	124	Application Receiver's Code Code identifying party receiving transmission. Codes agree	<b>M</b> d to b	<b>AN</b> y trading	2/15 partners
			Use this code to identify the unit receiving the inf	orma	ation.	
REQUIRED	GS04	373	Date Date expressed as CCYYMMDD	M	DT	8/8
			SEMANTIC: GS04 is the group date.			
			Use this date for the functional group creation da	te.		
REQUIRED	GS05	337	Time Time expressed in 24-hour clock time as follows: HHMM, o HHMMSSD, or HHMMSSDD, where H = hours (00-23), M = integer seconds (00-59) and DD = decimal seconds; decime expressed as follows: D = tenths (0-9) and DD = hundredth	= minu al sec	ites (00- onds are	59), S =
			SEMANTIC: GS05 is the group time.			
			Use this time for the creation time. The recomme $\ensuremath{HHMM}$ .	nded	format	is

will be considered in the NPRM. Once this Draft addenda is approved for publication by X12N, the value used in GS08 will be "004010X097A1".

FUNCTIONAL GROU	JP HEADER			IMPLEMENTATION GUIDE	
REQUIRED	GS06	28	Group Control Number M N0 Assigned number originated and maintained by the sender		
				ata interchange control number GS06 in this header must be same data element in the associated functional group trailer,	
REQUIRED	GS07	455	Responsible A Code used in co standard	Agency Code M ID 1/2 injunction with Data Element 480 to identify the issuer of the	
			CODE	DEFINITION	
			X	Accredited Standards Committee X12	
REQUIRED	GS08	480	Code indicating standard being usegment is X, the are the release a industry or trade	the version, release, subrelease, and industry identifier of the EDI used, including the GS and GE segments; if code in DE455 in GS en in DE 480 positions 1-3 are the version number; positions 4-6 and subrelease, level of the version; and positions 7-12 are the easociation identifiers (optionally assigned by user); if code in gment is T, then other formats are allowed	
New coo	de value -		004010X097A	1 Draft Standards Approved for Publication by ASC X12 Procedures Review Board through October 1997, as published in this implementation guide.	
				This is a Draft Addenda to the X12N 004010X097 Implementation Guide published in May 2000 and not yet intended for implementation. Since the 004010X097 guide is named for use under HIPAA, this Draft Addenda must go through a Notice of Proposed Rule Making (NPRM) process, just as the original Implementation Guide did, before becoming a final addenda to the guide published by X12N. Only the modifications noted in this Draft Addenda	